

Serial No. 09/295,958; Navy Case No. 79628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

RICHARD C. ADAMS et al

Serial No.: 09/295,958

Filed: 21 April 1999

For: DEVICE FOR SIMULTANEOUS TRANSMISSION OF TWO SIGNALS WITH IDENTICAL FREQUENCIES

Examiner: XXXX

Group Art Unit: XXXX

DECLARATION OF PETITIONER

Honorable Commissioner of Patent and Trademarks
Washington, D.C. 20231

I Peter A. Lipovsky declare:

1) Corresponding to the above-referenced U.S. Patent Application Serial Number 09/295,958, no "Notice to File Missing Parts" (hereafter "Notice") was received by Petitioners or their legal representatives.

2) I have personally conducted a search of the docket records and the file jacket pertaining to the above-referenced case and this search indicates that the Notice was not received. This search consisted of examining the "case card" (exhibit A) as we call it for the above referenced case; the file jacket for the case (Exhibit B) and the attorney docket sheets for the time in question (Exhibits C and D). As can be seen from these exhibits, none of these disclose or otherwise reference the receipt of the Notice at issue.

Exhibit A, the "case card" for the above referenced case, indicates preliminary actions taken on the case, indicates filing of the case, and also serves to indicate all written communications received and returned to the Patent Office. Exhibit A has no indication that a Notice to File Missing Parts of Application was received from the Patent Office.

Exhibit B is a copy of the exterior of the file jacket pertaining to the above-referenced

FILE\NC79628.DEC\A.7

Serial No. 09/295,958; Navy Case No. 79628

case upon which important identification information is inserted as well as a record of all documents received by the Patent and Trademark Office as well as those documents sent to the Patent Office for this patent application. As can be seen from this copy of the file jacket, no record exists showing the Notice was received for this case.

Further included to substantiate the records are Exhibits C, D and E which are edited copies of the docket of the attorney handling the case in issue for the time in question. As the above-referenced case was filed at the end of April 1999, Exhibit C is a copy of the attorney's docket for the month of April, 1999, Exhibit D is a copy of the attorney's docket for the month of May, 1999 and finally Exhibit E is a copy of the attorney's docket for the month of June, 1999. None of these docket reports shows any indication that a response was due under a Notice to File Missing Parts for Navy Case Number 79628, the case in issue. Please note that the editing was done to protect dates corresponding to receipt of invention disclosures. No such editing was done in the "Response Due Cases" category. Exhibits A and B were similarly edited to protect crucial dates prior to filing, such as date of disclosure. These dates are not considered relevant here. No editing was done however to any of the records pertaining to correspondence received from the Patent Office.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Serial No. 09/295,958; Navy Case No. 79628

2 MAY 2000

DATE

Peter A. Lipovsky

PETER A. LIPOVSKY
Attorney of Record
Registration No. 32580

2 May 2000
Legal Counsel for Patents D0012
Attention: Mr. Peter A. Lipovsky
SPAWARSYSCEN, SAN DIEGO
53510 Silvergate Ave Rm 103
San Diego, CA 92152-5765
(619) 553-3001

FILE\NC79628.DEC\A.7



Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)
Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	79628		
	First Named Inventor	R. C. Adams, et al.		
	COMPLETE IF KNOWN			
	Application Number	-- 09 / 295,958		
	Filing Date	-- 21 April 1999		
	Group Art Unit	--		
<input type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name	--

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEVICE FOR SIMULTANEOUS TRANSMISSION OF TWO SIGNALS WITH IDENTICAL FREQUENCY

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) **21 April 1999** as United States Application Number or PCT International Application Number **09/295,958** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

encl. 12

Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/01 (12-97)

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Harvey Fendelman	27,030		
Michael A. Kagan	33,188		
Peter A. Lipovsky	32,580		
Eric James Whitesell	38,657		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	Commanding Officer, Office of Patent Counsel				
Address	SPAWARSSYSCEN D0012				
Address	53510 Silvergate Ave. Rm 103				
City	San Diego	State	CA	ZIP	92152-5765
Country	US	Telephone	619-553-3001	Fax	619-553-3821

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Richard C.		Adams			
Inventor's Signature				Date	
Residence: City	San Diego	State	CA	Country	U.S.A.
				Citizenship	U.S.A.
Post Office Address	4878 Saratoga Avenue, #204				
Post Office Address	San Diego, CA 92107				
City	San Diego	State	CA	ZIP	92107
				Country	U.S.A.

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto